



## DECLARATION FOR PATENT APPLICATION

As the named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled, *Robo: A Novel Family of Polypeptides and Nucleic Acids*, the specification of which was filed on November 14, 1997 and is identified as USSN 08/971,172.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

60/062,921  
(Application Serial No.)

October 20, 1997  
(Filing Date)

Pending  
(Status)

Please direct all telephone calls to Richard Aron Osman, Ph.D. (650) 343-4341.

Please address all correspondence to: Richard Aron Osman, Ph.D., Science & Technology Law Group,  
75 Denise Drive, Hillsborough, CA 94010.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor:

Corey S. Goodman

Inventor's signature:

Date:

3/2/98

Residence:

Berkeley, CA

Citizenship:

U.S.A.

Post Office Address:

Life Sciences Addition #519, UC Berkeley, Berkeley, CA, 94720

Full name of second inventor:

Thomas Kidd

Inventor's signature:

Tom Kidd

Date:

MAR 2 1998

Residence:

Berkeley, CA

Citizenship:

U.S.A.

Post Office Address:

Life Sciences Addition #519, UC Berkeley, Berkeley, CA, 94720

Full name of third inventor:

Kevin J. Mitchell

Inventor's signature:

Kevin Mitchell

Date:

3/4/98

Residence:

Berkeley, CA

Citizenship:

U.S.A.

Post Office Address:

Life Sciences Addition #519, UC Berkeley, Berkeley, CA, 94720

Full name of fourth inventor:

Guy Tear

Inventor's signature:

Date:

Residence:

London, England

Citizenship:

United Kingdom

Post Office Address:

Department of Biochemistry, Imperial College, Exhibition Road, London  
SW7 2AZ, England

Full name of third inventor:

Kevin J. Mitchell

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address:

Berkeley, CAU.S.A.Life Sciences Addition #519, UC Berkeley, Berkeley, CA, 94720

Full name of fourth inventor:

Guy Tear

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address:

London, EnglandUnited KingdomDepartment of Biochemistry, Imperial College, Exhibition Road, London  
SW7 2AZ, England



Docket No. B98-006

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: The Regents of the University of California  
ADDRESS: 300 Lakeside Dr., 22 Floor, Oakland CA, 94612-3550

**TYPE OF ORGANIZATION**

University or other Institution of Higher Education

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) or (b) of Title 35, United States Code, with regard to the invention entitled *Robo: A Novel Family of Polypeptides and Nucleic Acids* by inventors Corey S. Goodman, Thomas Kidd, Kevin J. Mitchell and Guy Tear described in the application filed on November 14, 1997 having USSN 08/971,172.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above with regard to the invention entitled *Robo: A Novel Family of Polypeptides and Nucleic Acids*, and having the named inventor(s): Goodman et al. described in the Application filed on November 14, 1997 having US Serial No. 08/971,172. If the rights held by the above identified nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ Individual☐ Small Business Concern☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name/Title: William A. Hoskins, Director, Office of Technology Licensing

Address: Office of Technology Licensing, 2150 Shattuck Ave., Berkeley, CA 94704

SIGNATURE

DATE

MAR 9, 1998